

## FROM A CONVERGENCE TO A REASONING WITH INTERNAL-VALUED PROBABILITY

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**Abstract.** Combining a deduction in a knowledge base of external uncertainty whose semantics has been proposed by N. J. Nilsson with a deduction coming from a convergence of a sequence of operators in a knowledge base of internal uncertainty, we propose a method of reasoning in a knowledge base of the both types of uncertainty.

Let  $\mathcal{B}$  and  $S$  be such a knowledge base and a goal sentence, respectively. The interval of truth probabilities of  $S$  derived from  $\mathcal{B}$  can be found by the proposed method.

### 1. INTRODUCTION

This article presents a method of reasoning from a knowledge base with uncertain information represented in the form interval-valued probability.

Let  $\mathcal{B}$  be a knowledge base consisting of  $\mathcal{B}^E$  and  $\mathcal{B}^I$  in which  $\mathcal{B}^E$  is a knowledge base with external uncertainty whose elements are given in the form  $\langle S, I \rangle$ , where  $S$  is a sentence and  $I = [a, b]$  is a closed subinterval of the unit interval  $[0, 1]$ ; and  $\mathcal{B}^I$  is a knowledge base with internal uncertainty whose elements are given the form

$$\langle S_1, I_1 \rangle \wedge \cdots \wedge \langle S_n, I_n \rangle \rightarrow \langle S_{n+1}, f(I_1, \dots, I_n) \rangle$$

where  $S_1, \dots, S_n, S_{n+1}$  are sentences;  $I_1, \dots, I_n$  are interval variables and  $f : \mathcal{C}[0, 1]^n \rightarrow \mathcal{C}[0, 1]$  is an interval function in which  $\mathcal{C}[0, 1]$  is the set of all closed subintervals of the interval  $[0, 1]$ .

Let  $S$  be any given sentence. A semantics, which underlies a method of deducing the interval of truth probabilities of  $S$  from  $\mathcal{B}$ , will be given.

The article is structured as follows. In Section 2, we will consider a deduction from  $\mathcal{B}^I$  and particularly from a directed acyclic knowledge base (DAKB) to any sentence. Section 3 will briefly review a semantics of the probabilistic logic proposed by N. J. Nilsson, i.e., a method of reasoning in a knowledge base of external uncertainty, and then devote mainly to a method of reasoning and conditions for deduction from a knowledge base containing both external and internal uncertainty.

### 2. INTERNAL UNCERTAINTY

Given a knowledge base  $\mathcal{B} = \{J_j \mid j = 1, \dots, M\}$ , where  $J_j$  is a rule of the form:

$$J_j = \langle A_{j_1}, I_{j_1} \rangle \wedge \cdots \wedge \langle A_{j_{m_j}}, I_{j_{m_j}} \rangle \rightarrow \langle A_{c_j}, f_j(I_{j_1}, \dots, I_{j_{m_j}}) \rangle$$

where  $f_j : \underbrace{C[0, 1]^{m_j}}_{m_j \text{ times}} \rightarrow C[0, 1]$  is an interval function from the Cartesian product  $C[0, 1] \times \cdots \times C[0, 1]$  in to  $C[0, 1]$ .

Let

$$\Gamma(J_j) = \{A_{j_1}, \dots, A_{j_{m_j}}, A_{c_j}\}$$

and

$$\Gamma = \bigcup_{J_j \in \mathcal{B}} \Gamma(J_j)$$

the set of all sentences in  $\mathcal{B}$ . We define  $\mathcal{I}$  the set of all mappings from  $\Gamma$  to  $C[0, 1]$ . Such a mapping  $I$  assigns to each sentence  $P \in \Gamma$  an interval  $I(P) \in C[0, 1]$ .

For the sake of simplicity, we denote  $f_j(I) = f_j(I_{j_1}, \dots, I_{j_{m_j}})$ , ( $j = 1, \dots, M$ ), where  $I \in \mathcal{I}$  such that  $I(A_{j_i}) = I_{j_i}$ ,  $i = 1, \dots, m_j$ .

An operator  $t_B$  from  $\mathcal{I}$  to  $\mathcal{I}$  is defined as follows

$$t_B : \mathcal{I} \rightarrow \mathcal{I}$$

$$t_B(I)(P) = I(P) \cap \bigcap_{j \in E_P} f_j(I)$$

for every  $P \in \Gamma$ , in which  $E_P = \{j \mid A_{c_j} = P\}$  and we assume that  $\bigcap_{j \in E_P} f_j(I) = [0, 1]$ , whenever  $E_P = \emptyset$ . From the above, we can define recursively a sequence  $\{t_B^n\}_{n \geq 0}$  as follows:

- (i)  $t_B^0(I) = I$ ;
- (ii)  $t_B^{n+1}(I) = t_B(t_B^n(I))$  for every  $I \in \mathcal{I}$ .

For any  $I_1, I_2 \in \mathcal{I}$ , we say that  $I_1 \leq I_2$  (respectively,  $I_1 < I_2$ ) iff  $I_1(P) \subseteq I_2(P)$  (respectively,  $I_1(P) \subset I_2(P)$ ) for every  $P \in \Gamma$ . Accordingly, from the definition of the operator  $t_B$ , it is easy to see that  $t_B^{n+1}(I) \leq t_B^n(I)$ , for every  $I \in \mathcal{I}$  i.e.,  $\{t_B^n(I)(P)\}$ , for any  $I \in \mathcal{B}$  and  $P \in \Gamma$ , is a sequence of closed subintervals satisfying the condition  $t_B^{n+1}(I)(P) \subseteq t_B^n(I)(P)$ , for every  $n$ . Therefore,  $\{t_B^n(I)\}$  is the *convergent sequence* under the meaning that  $t_B^\infty(I)(P) = I^*(P)$ , where  $I^*(P)$  is a closed subinterval of the interval  $[0, 1]$  and it might be empty. Hence, we can define a convergence of the sequence  $\{t_B^n\}$ . However, it is not the case that for any  $I \in \mathcal{I}$  there always exists a number  $n$  such that

$$t_B^n(I) = t_B^{n+1}(I)$$

For instance, let  $\mathcal{B} = \{A : [\alpha, \beta] \rightarrow A : [\sqrt{\alpha}, \sqrt{\beta}]\}$  and  $I(A) = [a, 1]$ , ( $0 < a < 1$ ), then  $t_{\mathcal{B}}^n(I)(A) = [a^{\frac{1}{2^n}}, 1]$ . It is clear that  $t_{\mathcal{B}}^n \neq t_{\mathcal{B}}^{n+1}$  for every  $n$ .

Suppose now  $\mathcal{B}$  is a knowledge base as above. Let  $\Gamma$  be the set of all sentences in  $\mathcal{B}$  and  $\mathcal{D} = \{D_{j,r} = (A_{j,r}, A_{c_j}) \mid r = 1, \dots, m_j; j = 1, \dots, M\}$ . Denote  $\mathcal{G} = (\Gamma, \mathcal{D})$ . We assume that there exist no cycles and loops, i.e.,  $(A, A) \notin \mathcal{D}$  for every  $A \in \Gamma$  and no chain  $(A_i, A_{i+1}) \in \mathcal{D}, i = 1, \dots, r$  such that  $A_1 = A_{r+1}$ . Remind that a graph is called a *directed acyclic* one iff it is directed and has no cycles and loops.

Therefore,  $\mathcal{G} = (\Gamma, \mathcal{D})$  is composed of directed acyclic graphs  $\mathcal{G}_i = (\Gamma_i, \mathcal{D}_i)$ ,  $i = 1, \dots, p$ , where  $\Gamma_i$  and  $\mathcal{D}_i$  are respectively sets of vertices and edges. We denote  $\mathcal{G} = \mathcal{G}_1 \cup \dots \cup \mathcal{G}_p$  and also call  $\mathcal{G}$  the *graph of  $\mathcal{B}$*  and  $\Gamma = \bigcup_{i=1}^p \Gamma_i$ ,  $\mathcal{D} = \bigcup_{i=1}^p \mathcal{D}_i$  the sets of vertices and edges, respectively; and every  $\mathcal{G}_i$  is then called a *component of the graph  $\mathcal{G}$* .

A knowledge base  $\mathcal{B}$  is called to be *the directed acyclic knowledge base (DAKB)* iff the graph  $\mathcal{G}$  of  $\mathcal{B}$  is a directed acyclic graph or is composed of directed acyclic graphs  $\mathcal{G}_i, i = 1, \dots, p$ .

In this article, we restrict our to the case that  $\mathcal{B}$  is a DAKB. Suppose that  $\mathcal{B}$  is such a knowledge base and  $\mathcal{G} = (\Gamma, \mathcal{D})$  is its graph. Let  $E_A = \{(B, A) \mid (B, A) \in \mathcal{D}\}$  and  $E^A = \{(A, B) \mid (A, B) \in \mathcal{D}\}$ , then  $|E_A|$  and  $|E^A|$  are called the *indegree* and the *outdegree* of  $A$ , respectively (where  $|\cdot|$  denotes cardinality). We denote  $\text{ind}(A) = |E_A|$  and  $\text{outd}(A) = |E^A|$ .

Three types of vertices playing the important role afterwards will be named particularly:

- (1) A vertex  $A$  is called the *input vertex* iff  $\text{ind}(A) = 0$ ;
- (2) A vertex  $A$  is called the *inside vertex* iff  $\text{ind}(A) \neq 0$  and  $\text{outd}(A) \neq 0$ ;
- (3) A vertex  $A$  is called the *output vertex* iff  $\text{outd}(A) = 0$ .

The following notion arises naturally from DAKB  $\mathcal{B}$  when the vertices of its graph are now combined with interval values.

A number  $n$  is called the *depth* of a sentence  $A$  in  $\Gamma$  w.r.t.  $I \in \mathcal{I}$  if  $n$  is the least number such that  $t_{\mathcal{B}}^n(I)(A) = t_{\mathcal{B}}^{n+1}(I)(A)$ . We denote  $n = \text{depth}_{\mathcal{B}}(A, I)$ .

It is clear that the computation of the interval value of a sentence  $A$  from  $\mathcal{B}$  depends only on the component  $\mathcal{G}_i$  containing it, especially on the type of vertex  $A$  in  $\mathcal{G}_i$ . It is easy to prove the following.

**Proposition 1.** *Let  $\mathcal{B}$  be a DAKB and  $I \in \mathcal{Z}$ . Then*

- (i)  $\text{depth}_{\mathcal{B}}(A, I) = 0$  for every input vertex  $A$ ;
- (ii)  $\text{depth}_{\mathcal{B}}(A, I) \geq \max_{B \in E_A} \{\text{depth}_{\mathcal{B}}(B, I)\} + 1$ , for every  $A \in \Gamma$ .

**Proposition 2.** *If  $\mathcal{B}$  is a DAKB, then there always exists a natural number  $n$  such that  $t_{\mathcal{B}}^n(I) = t_{\mathcal{B}}^{n+1}(I)$  for every  $I \in \mathcal{I}$ .*

*Proof.* Suppose that  $\mathcal{G} = (\Gamma, \mathcal{D})$  is the graph of  $\mathcal{B}$ , where  $\mathcal{G} = \mathcal{G}_1 \cup \dots \cup \mathcal{G}_p$  is composed of components  $\mathcal{G}_i = (\Gamma_i, \mathcal{D}_i)$ ,  $i = 1, \dots, p$  and  $\Gamma = \bigcup_{i=1}^p \Gamma_i$ ,  $\mathcal{D} = \bigcup_{i=1}^p \mathcal{D}_i$ . Let

$$U_i^k = \{A \mid A \in \Gamma_i \text{ and } \text{depth}_{\mathcal{B}}(A, I) = k\}$$

where  $k$  is the number of iterating times of  $t$ . It is clear that there will exist  $n_i$ , ( $i = 1, \dots, p$ ) such that  $U_i^{n_i} = \Gamma_i$ . Taking  $n = \max(n_1, \dots, n_p)$ , we have  $t^n(I) = t^{n+1}(I)$ . The proposition is proved.

From Proposition 2, we can define an operator  $\mathcal{T}_{\mathcal{B}}$  as follows: *For any  $I \in \mathcal{I}$ ,  $\mathcal{T}_{\mathcal{B}}(I) = t_{\mathcal{B}}^n(I)$ , where  $n$  is the least number such that  $t_{\mathcal{B}}^n(I) = t_{\mathcal{B}}^{n+1}(I)$ .*

Suppose that  $\mathcal{B}$  is a directed acyclic knowledge base composed of rules and  $S$  is any sentence. We denote by  $\Gamma$  the set consisting of  $S$  and all sentence occurring in rules  $J_i$  of  $\mathcal{B}$ . Let  $I$  be a mapping which assigns a subinterval of the interval  $[0, 1]$  for any sentence in  $\Gamma$ . Then  $\mathcal{T}_{\mathcal{B}}(I)(S)$  can be considered as the *interval value for the truth probability of the sentence  $S$*  derived from the knowledge base  $\mathcal{B}$ .

### 3. REASONING WITH EXTERNAL AND INTERNAL UNCERTAINTY

This section is devoted presenting a method of reasoning in a knowledge base with both forms of uncertainty: external and internal uncertainty. We first recall a semantics of reasoning in a knowledge base with external uncertainty, and then propose a deduction of knowledge base with containing both of uncertainty. After that we consider conditions under which the deduction may be obtained.

#### 3.1. A Method of Reasoning

Given a knowledge base  $\mathcal{B}$  with external uncertainty

$$\mathcal{B} = \{\langle S_i, I_i \rangle \mid i = 1, \dots, L\}$$

Let  $\Gamma$  be the set of all sentences  $S_i$  and  $\mathcal{I} = \{I \mid I : \Gamma \rightarrow \mathcal{C}[0, 1]\}$ . We define an operator  $\mathcal{R}_{\mathcal{B}}$  from  $\mathcal{I}$  to  $\mathcal{I}$  as follows.

For every  $I \in \mathcal{I}$ , we establish a new knowledge base

$$\mathcal{B}' = \mathcal{B} \cup \{\langle P, I(P) \rangle \mid P \in \Gamma\}$$

and we take for every  $P \in \Gamma$  the interval  $I'(P) = \mathcal{F}(P, \mathcal{B}')$ , which is deduced from  $\mathcal{B}'$  - a deduction based on the semantics given by N. J. Nilsson (For more detail,

refer to [4, 11]). The mapping  $I'$  is defined to be the image of  $I$  by the operator  $\mathcal{R}_B : \mathcal{R}_B(I) = I'$ .

It is easy to see that

$$\mathcal{R}_B^n(I) = \mathcal{R}_B(I), \text{ for any } n \geq 1$$

From now on, we consider knowledge bases containing both types of uncertainty: external and internal uncertainty. Let  $\mathcal{B}$  be such a knowledge base, we can write  $\mathcal{B} = \mathcal{B}^E \cup \mathcal{B}^I$ , where  $\mathcal{B}^E$  consists of knowledge with external uncertainty, and  $\mathcal{B}^I$  contains knowledge with internal uncertainty.

Suppose that

$$\begin{aligned} \mathcal{B}^E &= \{ \langle S_i, I_i \rangle \mid i = 1, \dots, L \} \\ \mathcal{B}^I &= \{ J_j \mid j = 1, \dots, M \} \end{aligned}$$

where

$$J_j = \langle A_{j_1}, I_{j_1} \rangle \wedge \dots \wedge \langle A_{j_{m_j}}, I_{j_{m_j}} \rangle \rightarrow \langle A_{c_j}, f_j(I_{j_1}, \dots, I_{j_{m_j}}) \rangle$$

and  $S$  is any (target) sentence. Our problem is to compute the interval value for the truth probability of the sentence  $S$  from the knowledge base  $\mathcal{B}$ .

We put  $\Gamma$  to be the set of all mapping from  $\Gamma$  to  $C[0, 1]$ .

Let  $I_0$  be the mapping defined by

$$I_0 = \begin{cases} I_i & \text{if } P = S_i \text{ for some } i = 1, \dots, L \\ [0, 1] & \text{otherwise} \end{cases}$$

$I_0$  is called the *initial assignment* (of interval values to sentences in  $\Gamma$ ).

We now define a sequence of assignments  $I_n$  ( $n = 0, 1, \dots$ ) initiated by  $I_0$  and given recursively as follows

$$I_n = \begin{cases} \mathcal{R}(I_{n-1}) & \text{if } n \text{ is odd} \\ \mathcal{T}(I_{n-1}) & \text{if } n \text{ is positive even} \end{cases}$$

Here  $\mathcal{R}$  and  $\mathcal{T}$  stand for  $\mathcal{R}_{\mathcal{B}^E}$  and  $\mathcal{T}_{\mathcal{B}^E}$ , respectively.

Let  $n$  be the least number having the property  $I_n = I_{n+1} = I_{n+2}$  (if there exists). We denote this  $I_n$  by  $I^*$  and call it to be the *resulting assignment* deduced from  $\mathcal{B}$  to sentences in  $\Gamma$ . The interval  $I^*(S)$  is defined to be the *interval value for the truth probability of the sentence  $S$  derived from the knowledge  $\mathcal{B}$* . We also write:

$$\mathcal{B} \vdash \langle S, I^*(S) \rangle$$

We will clarify the semantics of deduction by the following example.

**Example 1.** Suppose that  $\mathcal{B} = \mathcal{B}^E \cup \mathcal{B}^I$ , where  $\mathcal{B}^E$  is the set of sentences

$$B \rightarrow A : [1, 1]$$

$$A \rightarrow C : [1, 1]$$

$$B : [.2, .8]$$

$$C : [.4, .7]$$

and  $\mathcal{B}^I$  is the set of rules

$$J_1 = C : [x_1, y_1] \rightarrow B : [\sqrt{x_1}, \sqrt{y_1}]$$

$$J_2 = B : [x_2, y_2] \wedge C : [x_3, y_3] \rightarrow A : [x_2, y_2]$$

Calculate the interval of truth probabilities of the sentences  $A$ .

*Step 1.* Applying the operator  $\mathcal{R}$ , we get

$$A : [.2, .7]$$

$$B : [.2, .7]$$

$$C : [.4, .7]$$

*Step 2.* The operator  $\mathcal{T}$  is applied

$$A : [\sqrt{.4}, \sqrt{.7}]$$

$$B : [\sqrt{.2}, \sqrt{.7}]$$

$$C : [.4, .7]$$

It is easy to see that after iterating  $\mathcal{R}$ , then  $\mathcal{T}$ , the interval values of  $A$ ,  $B$ ,  $C$  are not changed. So we get the result  $A : [\sqrt{.4}, \sqrt{.7}]$ .

### 3.2. Conditions of Deduction

In general, it is not the case that there always exists a number  $n$  such that  $I^* = I_n = I_{n+1} = I_{n+2}$ . In effect, we consider the following example.

**Example 2.** Suppose

$$\mathcal{B}^E = \{P \rightarrow Q : [1, 1], P : [a, 1]\} \quad (0 < a < 1),$$

$$\mathcal{B}^I = \{Q : [x, y] \rightarrow P : [\sqrt{x}, y]\}.$$

by simply computing, we have

$$I_0(P) = I_1(P) = [a, 1]$$

$$I_n(P) = [a^{\frac{1}{2^{n-1}}}, 1], n \geq 1$$

and therefore  $I_n \neq I_{n+1}$  for every  $n \leq 1$ .

By replacing the part  $\mathcal{B}^E$  of the knowledge base  $\mathcal{B}$  with

$$\mathcal{B}^E = \{P \rightarrow Q : [1, 1], P : [a, b]\} \quad (0 < a < b < 1)$$

and then  $I_n(P) = [a^{\frac{1}{2^{n-1}}}, b], n \geq 1$ . Thus, there exists a number  $n$  such that  $a^{\frac{1}{2^{n-1}}} > b$  or  $I_n(P) = \emptyset$ , for some  $n$ , i.e.,  $\mathcal{B} = \mathcal{B}^E \cup \mathcal{B}^I$  is inconsistent.

Our problem is now to look for conditions guaranteeing that there exists a number  $n$  such that  $I_n = I_{n+1} = I_{n+2}$ . The following proposition holds obviously.

**Proposition 3.** *If  $\mathcal{B} = \mathcal{B}^E \cup \mathcal{B}^I$  is a knowledge base in which  $S_i \neq A_{c_j}$  for any  $i = 1, \dots, L$  and  $j = 1, \dots, M$ , then there always exists the resulting assignment  $I^*$  from  $\mathcal{B}$ .*

We call an interval function  $f_i$  to be *non-increasing* (respectively, *increasing*) if for every  $I_1, I_2 \in I, I_1 < I_2$  then  $f_j(I_1) \supseteq f_j(I_2)$  (respectively,  $f_j(I_1) \subset f_j(I_2)$ ).

**Proposition 4.** *If  $f_j (j = 1, \dots, M)$  is a non-increasing function, there always exists the resulting assignment  $I^*$  from  $\mathcal{B}$ .*

*Proof.* We can write

$$I_0 \xrightarrow{\mathcal{R}} I_1 \xrightarrow{\mathcal{T}} I_2 \xrightarrow{\mathcal{R}} \dots \xrightarrow{\mathcal{R}} I_{n-2} \xrightarrow{\mathcal{T}} I_{n-1} \xrightarrow{\mathcal{R}} I_n \xrightarrow{\mathcal{T}} I_{n+1} \xrightarrow{\mathcal{R}} \dots$$

From the definition of the operator  $t$ , we have

$$I'_1(P) = t(I_1)(P) = I_1(P) \cap \bigcap_{j \in E_P} f_j(I_1)$$

for every  $P \in \Gamma$ . In the case that  $I'_1(P) = I_1(P)$ , for any  $P \in \Gamma$ , then  $I^* = I_1$ , as desired; otherwise, again applying the operator  $t$  to  $I'_1$  we get

$$t^2(I_1)(P) = t(I'_1)(P)$$

$$= I'_1(P) \cap \bigcap_{j \in E_P} f_j(I'_1)$$

$$= I_1(P) \cap \bigcap_{j \in E_P} f_j(I_1) \cap \bigcap_{j \in E_P} f_j(I'_1)$$

By virtue of that  $I_1 > I'_1$ , we have  $f_j(I_1) \subseteq f_j(I'_1)$  Consequently,

$$t^2(I_1)(P) = I_1(P) = I_1(P) \cap \bigcap_{j \in E_P} f_j(I_1) = t(I_1)(P)$$

for every  $P \in \Gamma$ . Therefore,  $I_2 = \mathcal{T}(I_1) = t(I_1)$ ,  $\mathcal{R}(I_2) = I_3$ . It is clear that from the inclusions

$$I_3(P) \subseteq t(I_1)(P) \subseteq \bigcap_{j \in E_P} f_j(I_1) \subseteq \bigcap_{j \in E_P} f_j(I_3)$$

follows

$$\begin{aligned} I'_3(P) &= t(I_3)(P) \\ &= I_3(P) \cup \bigcap_{j \in E_P} f_j(I_3) \\ &= I_3(P), \text{ for every } P \in \Gamma \end{aligned}$$

Hence,  $\mathcal{T}(I_3) = I_3$  and it is obvious that  $\mathcal{R}(I_3)$ . So,  $I^* = I_3$ , as desired. The proof is complete.

Turing to Example 1 in Section 3.1, we see that although the knowledge base  $\mathcal{B}$  does not satisfy conditions of proposition 3-4, there exists the resulting assignment  $I^*$  from  $\mathcal{B}$ . So, it seems that the existence of  $I^*$  depends strongly not only on properties of classes of functions  $\{f_j\}$ , but also on "syntax structure" of sentences in  $\Gamma$ . The problem of finding sufficient and necessary conditions for the existence of deduction and that of handling inconsistency are the subjects of our further work.

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