

ON THE COMPUTATIONAL ALGORITHM RELATED TO ANTIKEYS

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Abstract. The keys and antikeys play important roles for the investigation of functional dependency in the relational datamodel. The main purpose of this paper is to prove that the time complexity of finding a set of antikeys for a given relation scheme S is exponential in the number of attributes. Some another results connecting the functional dependency are given.

Key Word and phrase: Relation, relational datamodel, functionl dependency, relation scheme, generating Armstrong relation, dependency inference, strong schemen, membership problem, closure, closed set, minimal generater, key, minimal key, antikey.

1. INTRODUCTION

Now we start with some necessary definitions, and in the nex sections we formulate our results.

Definition 1.1 Let $R = \{h_1, \dots, h_n\}$ be a relation over U , and $A, B \subseteq U$.

Then we say that B functionally depends on A in R (denoted $A \xrightarrow{f} B$) iff

$(\forall h_i, h_j \in A) (h_i(a) = h_j(a)) \Rightarrow (\forall b \in B) (h_i(b) = h_j(b))$

Let $F_R = \{(A, B): A, B \subseteq U, A \xrightarrow{f} B\}$, F_R is called the full family of functional

Dependencies of R . Where we write (A, B) or $A \rightarrow B$ for $A \xrightarrow{f} B$ when R, f are clear from the context.

Definition 1.2. A functional dependency over U is a statement of the form $A \rightarrow B$, where $A, B \subseteq u$. The FD $A \rightarrow B$ holds in a relation R if $A \xrightarrow{f} B$. We also say that R statisfies the FD $A \rightarrow B$.

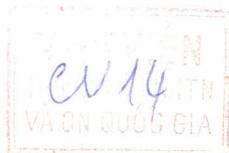
¹Let U be a finite set, and denote $\Gamma(U)$ its power set.

Let $Y \subseteq P(U) \times P(U)$. We say that Y is an f-family over U iff for all $A, B, C, D \subseteq U$

- (1) $(A, A) \subseteq Y$
- (2) $(A, B) \subseteq Y, (B, C) \in Y \Rightarrow (A, C) \in Y,$
- (3) $(A, B) \subseteq Y, A \subseteq C, D \subseteq B \Rightarrow (C, D) \in Y,$
- (4) $(A, B) \subseteq Y, (C, D) \in Y \Rightarrow (A \cup C, B \cup D) \in Y.$

Clearly, F_R is an f-family over U .

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It is known [1] that if Y is an arbitrary f -family, then there is a relation R over U such that $F_R = Y$.

Definition 1.4. A relation scheme S is a pair $\langle U, F \rangle$. Where U is a set of attributes, and F is a set of FDs over U . Let F^+ be a set of all FDs that can be derived from F by the rules in definition 1.3.

Clearly, in [1] if $S = \langle U, F \rangle$ is a relation scheme, then there is a relation R over U such that $F_R = F^+$. Such a relation is called an Armstrong relation of S .

Definition 1.5. Let R be a relation, $S = \langle U, F \rangle$ be a relation scheme, Y be an f -family over U , and $A \subseteq U$. Then A is a key of R (a key of S , a key of Y) if $A \rightarrow^R U$ ($A \rightarrow U \in F^+$, $(A, U) \in Y$). A is a minimal key of $R(S, Y)$ if A is a key of $R(S, Y)$, and any proper subset of A is not a key of $R(S, Y)$. Denote $K_R, (K_S, K_Y)$ the set of all minimal keys of $R(S, Y)$.

Clearly, K_R, K_S, K_Y are Sperner systems over U .

Definition 1.6. Let K be a Sperner system over U . We define the set of antikeys of K , denote by K^{-1} , as follows:

$$K^{-1} = \{A \subseteq U: (B \in K) \Rightarrow (B \not\subseteq A) \text{ and } (A \subseteq C) \Rightarrow (EB \in K) (B \subseteq C)\}$$

It is easy to see that K^{-1} is also a Sperner system over U .

It is known [4] that if K is an arbitrary Sperner system plays the role of the set of minimal keys antikeys, then this Sperner system is not empty (does't contain U). We also regard the comparison of two attributes to be the elementary step of algorithms. Thus, if we assume that subsets of U are represented as sorted lists of attributes, then a Boolean operation on two subsets of U requires at most $|U|$ elementary steps.

Definition 1.7. Let $I \subseteq P(U)$, $U \in I$, and $A, B \in I \Rightarrow A \cap B \in I$. Let $M \subseteq P(U)$. Denote $M^+ = \{\cap M': M' \subseteq M\}$. We say that M is a generator of I iff $M^+ = I$. Note that $U \in M^+$ but not in M , since it is the intersection of the empty collection of sets.

Denote $N = \{A \in I: A \# \cap \{A' \in I: A \subseteq A'\}\}$.

In [6] it is proved that N is the unique minimal generator of I . Thus, for any generator N' of I we obtain $N \subseteq N'$.

Definition 1.8. Let R be a relation over U , and E_R the equality set of R , i.e

$E_R = \{E_{ij}: 1 \leq i < j \leq |R|\}$, where $E_{ij} = \{a \in U: h_i(a) = h_j(a)\}$. Let $T_R = \{A \in P(U): \exists E_{ij} = A, \exists E_{pq}: A \subseteq E_{pq}\}$. Then T_R is called the maximal equality system of R .

Definition 1.9. Let R be a relation, and K a Sperner system over U . We say that R represents K iff $K_R = K$.

The following theorem is known in [8]

Definition 1.10. Let R be a relation, and K a Sperner system over U . We say that R presents K iff $K^{-1} = T_R$, where T_R is the maximal equality system of R .

2. RESULTS

In this section we investigate the connections between Armstrong relations, relation scheme, and Sperner systems from different aspects.

Definition 2.1. Let $S = \langle U, F = \{A_i \rightarrow B_i; i=1, \dots, m\} \rangle$ be a relation scheme over U , and $X \subseteq U$. Denote $X^+ = \{a \in U: X \rightarrow \{a\} \in F^+\}$. Then X^+ is called the closure of X over S .

It is known that in [2] there is an algorithm that computes X^+ from X and this algorithm has polynomial time complexity in $|U|$ and $|F|$.

Remark 2.2: If F is an f -family over U , we denote $H_F(A) = \{a \in U: A \rightarrow \{a\} \in F\}$. Where (A, B) or $A \rightarrow B$ denotes a functional dependency. Denote $Z(F) = \{A \in U: H_F(A) = A\}$. It is easy to see that $U, \emptyset \in Z(F)$ and $A, B \in Z(F)$ implies $A \cap B \in Z(F)$. Clearly, for a relation scheme $S = \langle U, F \rangle$ F^+ is an f -family over U .

Theorem 2.3. [4] Let F_1, F_2 be two f -families over U . Then $F_1 = F_2$ iff $Z(F_2) = Z(F_1)$, and $F_1 \subseteq F_2$ iff $Z(F_2) \subseteq Z(F_1)$.

Theorem 2.4. [4] Let K be a Sperner system, and $S = \langle U, F \rangle$ a relation scheme over U . Then $K_S = K$ iff $[U] \cup K^{-1} \subseteq Z(F^+) \subseteq \{U\} \cup G(K^{-1})$, where $G(K^{-1}) = \{A \in P(U): \exists B \in K^{-1}: A \subseteq B\}$.

According to [4], clearly.

Theorem 2.5. Let $K = \{K_1, \dots, K_m\}$ be a Sperner system over U .

Denote $S = \langle U, F \rangle$ with $F = \{K_1 \rightarrow U, \dots, K_m \rightarrow U\}$.

Then $K_S = K$ and $Z(F^+) = G(K^{-1}) \cup \{U\}$.

We show the following problems that play important roles for the logical and structural investigation of the relational data model in practice and design theory.

(1) Constructing Armstrong relation: Let $S = \langle U, F \rangle$ be a relation scheme. Construct a relation R for which $F^+ = F_R$.

(2) Constructing relation scheme: Let R be a relation. Construct a relation scheme $S = \langle U, F \rangle$ such that R is the Armstrong relation of S .

(3) FD-relation implication problem: Let R be a relation, and $S = \langle U, F \rangle$ a relation scheme. Decide whether $F_R \subseteq F^+$.

(4) FD-relation equivalence problem: Let $S = \langle U, F \rangle$ a relation scheme, R be a relation. Decide whether $F^+ = F_R$.

According to [2, 9, 13] two first problems are inherently difficult. In [13] problem 3 is co-NP-complete. For the FD-equivalence problem we can construct an algorithm to solve this problem in exponential time.

First we give an algorithm to solve the second problem. In [8] we proved the following theorem.

Theorem 2.6. Let $R = \{h_1, \dots, h_m\}$ be a relation, and F an f -family over U .

$$H_F(A) = \begin{cases} U & \text{otherwise} \\ \bigcup_{ij} \dots \end{cases}$$

Where $H_F(A) = \{a \in U: (A, \{a\}) \in R\}$ and E_R is the equality set of R.

In relation scheme $S = \langle U, F \rangle$, a functional dependency $A \rightarrow B \in F$ is called redundant if either $A = B$ or there is $c \rightarrow B \in F$ such that $C \subseteq A$.

Theorem 2.7

Input: a relation $R = \{h_1, \dots, h_m\}$ over U .

Output: a relation scheme $S = \langle U, F \rangle$ such that $F_R = F^+$.

Step 1. Find the equality set $F_R = \{E_{ij}: 1 \leq i < j \leq m\}$.

Step 2: Find the minimal generator N , where $N = \{A \in E_R: A \# \cap \{B \in E_R: A \subset B\}\}$.

Denote element of N by A_1, \dots, A_r .

Step 3: For every $B \subseteq U$ if there is A_i such that $B \subseteq A_i$, we compute $C = \bigcap_{B \subseteq A_i} A_i$ and set $B \rightarrow C$. In the converse case we set $B \rightarrow U$.

Denote: T the set of all such functional dependencies.

Step 4: Set $F = T - Q$, where $Q = \{X \rightarrow Y \in T: X \rightarrow Y \text{ is redundant functional dependency}\}$.

Clearly, according to Theorem 2.6, algorithm 2.7 find a relation scheme S such that a given relation R is an Armstrong relation of S .

The following example shows that for a given relation R Algorithm 2.7 can be applied to construct a relation scheme S such that S is an Armstrong relation of S .

Example 2.8 R is a relation over $R = \{a, b, c, d\}$

	b	c	d
0	0	0	0
0	0	0	1
2	0	0	0
3	3	0	0
4	0	4	4
5	5	5	0

Clearly, $E_R = \{\{b, c, d\}, \{a, b, c\}, \{b, c\}, \{c, d\}, \{b\}, \{c\}, \emptyset\}$

The minimal generator $N = \{\{a, b, c\}, \{b, c, d\}, \{c, d\}, \{b\}, \{d\}\}$

It is easy to see that $S = \langle U, F \rangle$, where $U = \{a, b, c, d\}$, $F = \{\{a, d\} \rightarrow U, \{a\} \rightarrow \{a, b, c\}, \{b, c\} \rightarrow \{b, c, d\}\}$.

It can be seen that the time complexity of algorithm 2.7 is exponential in the number of attributes. In [14] it is known that there is relation R containing $O(n)$ rows such that a minimal

relation scheme S of F containing $O(2^{n/2})$ FDs, where $n = |U|$. From this observation and by Algorithm 2.7 the following proposition (in [13, 14]) is clear.

Proposition 2.9. The time complexity of the second problem is exponential in the size of a given relation.

In [16] we give an algorithm which finds a relation scheme $S = \langle U, F \rangle$ from a given relation R such that $F_R = F^+$ and we show that in many cases the time complexity of this algorithm is polynomial in the size of R .

Let $S = \langle U, F \rangle$ be a relation scheme and $R = \{h_1, \dots, h_m\}$ be a relation over U , we compute the minimal generator N_R of $Z(F_R)$ from E_R (in polynomial time in the minimal generator N_S of $Z(S)$ (in exponential time in the number of elements of U)). By Theorem 2.3 we compare N_R with N_S , the two last problems are solved.

Let $S = \langle U, F \rangle$ be a relation scheme over U , K_S is a set of all minimal keys of S . We call K_S^{-1} is a set of all antikeys of S . From S we construct $Z(S) = \{X^+ : X \subseteq U\}$, and compute the minimal generator N_S of $Z(S)$. We set

$$T_S = \{A \in N_S : \exists B \in N_S : A \subset B\}$$

It is known [1] that for given relation scheme S there is relation R such that R is an Armstrong relation of S . On the other hand, by Theorem 1.10, and Theorem 2.6 the following proposition is clear.

Proposition 2.10. Let $S = \langle U, F \rangle$ be a relation scheme over U . Then

$$K_S^{-1} = T_S.$$

Theorem 2.11 The time complexity of finding a set of all antikeys of a given relation scheme is exponential in the number of attributes.

Proof. We have to prove that:

(1) There is an algorithm which finds a set of all antikeys of a given relation scheme in exponential time in the number of attributes.

(2) There exists a relation scheme $S = \langle U, F \rangle$ such that the number of elements of K_S^{-1} is exponential in the number of attributes (in our example $|K_S^{-1}|$ is exponential not only in the number of attributes, but also in the number of elements of F).

For (1): we construct the following algorithm.

Let $S = \langle U, F \rangle$ be a relation scheme over U .

Step 1: For every $A \subseteq U$ we compute A^+ , and set $Z(S) = \{A^+ : A \subseteq U\}$

Step 2: We construct the minimal generator N_S of $Z(S)$.

Step 3: We compute the set T_S from N_S .

According to Proposition 2.10 we have $T_S = K_S$.

Clearly, the time complexity of this algorithm is exponential in $|U|$.

For (2). Let us take a partition $U = X_1 \cup, \dots, \cup X_m \cup W$, where $m = \lfloor n/3 \rfloor$, and

$$|X_i| = 3 \quad (1 \leq i \leq m).$$

We set

$$K = \{B: |B| = 2, B \subseteq X_i \text{ for some } i\} \text{ if } |W| = 0,$$

$$K = \{B: |B| = 2, B \subseteq X_i \text{ for some } i: 1 \leq i \leq m - 1 \text{ or } B \subseteq X_m \cup W\}$$

$$K = \{B: |B| = 2, B \subseteq X_i \text{ for some } i: 1 \leq i \leq m \text{ or } B = W\} \text{ if } |W| = 2$$

It is easy to see that

$$K^{-1} = \{A: |A \cap X_i| = 1, \forall i\} \text{ if } |W| = 0$$

$$K^{-1} = \{A: |A \cap X_i| = 1, (1 \leq i \leq m - 1) \text{ and } |A \cap (X_m \cup W)| = 1,$$

$$K^{-1} = \{A: |A \cap X_i| = 1, (1 \leq i \leq m) \text{ and } |A \cap W| = 1\} \text{ if } |W| = 2.$$

It is clear that $n - 1 \leq |K| \leq n + 2 \cdot 3^{\lfloor n/4 \rfloor} < |K^{-1}|$.

Thus, if denote the elements of K by K_1, \dots, K_r , then we set $S = \langle U, F \rangle$, where $F = \{K_1 \rightarrow U, \dots, K_r \rightarrow U\}$. By Theorem 2.5 K^{-1} is the set of all antykeys of S . It is clear that for the arbitrary set of attributes we always can construct a relation scheme $S = \langle U, F \rangle$ such that $|F| < |U| < 2$, but the number of element of F . The theorem is proved.

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