

## Surface ferromagnetism of lead-free ferroelectric bismuth sodium titanate materials

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**Abstract.** *The role of complex surface defect on the magnet at the (110) surface of bismuth sodium titanate ( $\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$ ) was discussed based on the first-principles calculation. The first-principle calculations for various types of surface defects exhibited the existence of magnetic moments for selected chemical and position defects. Specifically, Na and Bi vacancies induced large magnetic moments of  $0.52\mu_{\text{B}}/f.u$  and  $0.50\mu_{\text{B}}/f.u$ , respectively, which were larger than that of Ti vacancies of  $0.01\mu_{\text{B}}/f.u$ . Interestingly, oxygen vacancies did not induce local magnetic moments. Furthermore, significant magnetic moments of  $0.50\mu_{\text{B}}/f.u$  and  $0.49\mu_{\text{B}}/f.u$  were obtained for Na and Bi interstitial defects, while the local magnetic moments were slightly achieved around  $0.03\mu_{\text{B}}/f.u$  and  $0.04\mu_{\text{B}}/f.u$  for Ti and O interstitial defects, respectively. Anti-site defects between Bi and Na at A-site in perovskite  $\text{ABO}_3$  structure exhibited magnetic moments of  $0.55\mu_{\text{B}}/f.u$  for Na anti-site at Bi-site and  $0.39\mu_{\text{B}}/f.u$  for Bi anti-site at Na-site. Interestingly, anti-site defects between the A-site and B-site in perovskite  $\text{ABO}_3$  structure resulted in larger magnetic moments, with values of  $0.57\mu_{\text{B}}/f.u$  and  $0.53\mu_{\text{B}}/f.u$  obtained for Ti anti-site defects at the Bi-site and Na-site, respectively. Additionally, magnetic moments of  $0.50\mu_{\text{B}}/f.u$  and  $0.54\mu_{\text{B}}/f.u$  were achieved for Bi and Na anti-site defects at the Ti-site, respectively. We expected that our work further contributed to the understanding of the role of surface defects in the magnetism of  $\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  materials in integrating ferromagnetic properties into lead-free ferroelectric materials for smart electronic device applications.*

Keywords:  $\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$ ; surface defects; multiferroic; magnetism; lead-free ferroelectric.

Classification numbers: 31.15.E-; 73.20.-r; 61.72.-y; 77.84.-s; 75.50.Dd.

## 1. Introduction

Lead-free ferroelectric  $\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  (BNT) materials have recently gained rapid attention in research due to their exceptional ferroelectricity and piezoelectricity, which are comparable to those of the currently used in  $\text{Pb}(\text{Zr},\text{Ti})\text{O}_3$  materials [1]. Therefore, BNT materials hold great promise for replacing  $\text{Pb}(\text{Zr},\text{Ti})\text{O}_3$  materials in electronic devices, as the latter often contains toxic Pb elements, comprising approximately 60wt% of its chemical composition [1, 2]. BNT materials are appealing due to their non-toxicity, large remnant polarization ( $P_r \sim 38\mu\text{C}/\text{cm}^2$ ), and high Curie temperature ( $T_C \sim 320^\circ\text{C}$ ) [1, 2]. Nonetheless, their high coercive field ( $E_C \sim 73\text{ kV}/\text{cm}$ ) and high conductivity have posed challenges during the polarizing process, limiting their practical applications [1]. Recently, there has been growing interest in the development of multiferroic materials, which combine both ferroelectric and ferromagnetic properties. These materials have the potential to revolutionize the next generation of electronic devices [3,4]. Multiferroic materials exhibit unique properties where magnetic moments were possibly controlled by an applied external electrical field, while polarization was tuned by an applied external magnetic field [3,4]. However, N. A. Hill *et al.* predicted that multiferroic materials were rare existed in nature because the mechanism of ferromagnetic ordering required filling a part of  $d$  electrons in the orbital of the transition metal, whereas ferroelectricity was typically associated with empty states in the  $d$ -orbital [5]. Combining symmetry arguments with firstprinciples calculations, Benedek *et al.* predicted that the interaction between ferroelectricity and octahedral rotations in a group of  $\text{ABO}_3$  perovskite oxides plays an important role in suppressing ferroelectricity in  $\text{ABO}_3$  materials, shedding light on the coordination preferences of A-site cations [6]. A recent review by Lone *et al.* summarized the current developments in multiferroic materials and highlighted their potential applications in microelectronic devices, sensors, storage devices (e.g. hard disk platters and magnetic read heads), as well as magnetoelectric sensors, magnetometers, antennas, and even extensions to magnetic anomaly detection, navigation, and biomagnetic sensing [7]. Therefore, significant research efforts have been focused on the development of multiferroic materials with a profound impact on various aspects of life, from academia to a joint industry and the start-up of new businesses [7].

Recent advancements have led to the discovery of room temperature ferromagnetism in pristine BNT materials, opening up new possibilities for their application in the next general electronic devices where their magnetism properties can be harnessed in addition to their ferroelectric properties [8–12]. Thanh *et al.* reported weak-ferromagnetic properties at room temperature in BNT materials and suggested that these properties could be enhanced by controlling the amounts of oxygen vacancies [8]. Dung *et al.* proposed that ferromagnetism in BNT compounds could be linked to  $\text{Ti}^{3+}$  and  $\text{Na}^+$  vacancies based on their observation of various defect chemistries using X-ray photoelectron spectroscopy [9]. Ruth *et al.* obtained room temperature multiferroicity and a magnetoelectric coupling coefficient of about  $4.18\text{mV}/\text{Oe}$  in Na-deficient BNT compounds through spin polarization of holes in the valence band [10]. Pattanayak *et al.* reported that the spin-polarized tunneling of electrons at interfaces interface between the grain of BNT compounds was also important for inducing magnetoresistance and magnetocapacitance [11]. Additionally, Ju *et al.* conducted experiments that demonstrated that room temperature ferromagnetism in BNT materials weakened after subsequent annealing in a vacuum at  $900^\circ\text{C}$  for 20 min, while it was significantly enhanced following subsequent annealing in an oxygen atmosphere at  $900^\circ\text{C}$  for

20 min [12]. These results were well explained by density function theory calculations with the local density approximation, which suggested that the observation of room temperature ferromagnetism in pristine BNT compounds could be originated from  $\text{Na}^+$  vacancies at/near the surface of BNT(100) nanograins, resulting in a magnetic moment of  $0.85\mu_{\text{B}}$  due to the spin polarization of  $\text{O} - 2p$  and  $\text{Ti} - 3d$  electrons [12]. Ju *et al.* also predicted that the observation of room temperature ferromagnetism in surface defects of BNT(100) materials was possibly enhanced by the absorption of oxygen at the defects in the surface [13]. Zhang *et al.* performed *ab initio* calculation for defect chemistry in BNT compounds with rhombohedral perovskite structure, indicating that  $\text{Na}^+$  or  $\text{Ti}^{4+}$  vacancies could induce magnetism, as opposed to  $\text{Bi}^{3+}$  or  $\text{O}^{2-}$  vacancies. The magnetism of  $\text{Na}^+$  or  $\text{Ti}^{4+}$  vacancies mainly originated from the polarization of  $\text{O} - 2p$  electrons [14]. Recently, Lam *et al.* predicted that the defects chemistry at surface BNT(110) were complexly induced the magnetic moments by using the first-principle calculations for electronic structure with a conventional cell containing 30 atoms, including 3Na, 3Bi, 6Ti and 18O atoms [15]. However, their work still encountered challenges in that *i*) the vacancies and anti-site defects were relatively high, around 33.3% at *A*-site (Na, and Bi-site) and 16.7% at *B*-site (Ti-site), and *ii*) the presence of anti-site between *A*-site and *B*-site remained unclear. Moreover, while the defect-induced magnetism in surface BNT materials was well consistent for the (100) direction in first-principle calculations, the domination direction was observed in experimental to be the (110) direction [9-13]. Interestingly, the defect at surface of BNT(110) direction was found to be favorable for crystal growth in experimental findings from X-ray diffraction as well as X-ray photoelectron spectroscopy [9]. Hence, we propose that the room-temperature ferromagnetism observed in BNT materials might be attributed to defects on the BNT(110) surface rather than the BNT(100) surface defects. Therefore, reducing the amounts of self-defects in BNT(110) surface in theoretical calculations in unicell to bring them in closer agreement with experimental data (less than 10% ) was necessary [10].

In this work, we investigate the intricate and complex self-defect chemistry at the surface of BNT(110) with 60 atoms in the unit cell using the density-functional theory. Although the pristine BNT(110) surface is non-magnetic, we observed significant magnetic moments of  $0.52\mu_{\text{B}}/\text{f.u}$  and  $0.50\mu_{\text{B}}/\text{f.u}$  for Na and Bi vacancies, respectively, while Ti vacancies exhibited a magnetic moment of  $0.01\mu_{\text{B}}/\text{f.u}$ . Interestingly, oxygen vacancies did not induce local magnetic moments. Na and Bi interstitial defects induced magnetic moments of  $0.50\mu_{\text{B}}/\text{f.u}$  and  $0.49\mu_{\text{B}}/\text{f.u}$ , respectively, whereas Ti and O interstitial defects exhibited magnetic moments of  $0.03\mu_{\text{B}}/\text{f.u}$  and  $0.04\mu_{\text{B}}/\text{f.u}$ , respectively. Na(Bi) and Bi(Na) anti-site defects have magnetic moments of  $0.55\mu_{\text{B}}/\text{f.u}$  and  $0.39\mu_{\text{B}}/\text{f.u}$  for Na anti-site at Bi-site and Bi anti-site at Na-site, respectively, while magnetic moments of  $0.57\mu_{\text{B}}/\text{f.u}$  and  $0.53\mu_{\text{B}}/\text{f.u}$  were obtained for Ti antisite defects at Bi-site and Na-site, respectively.

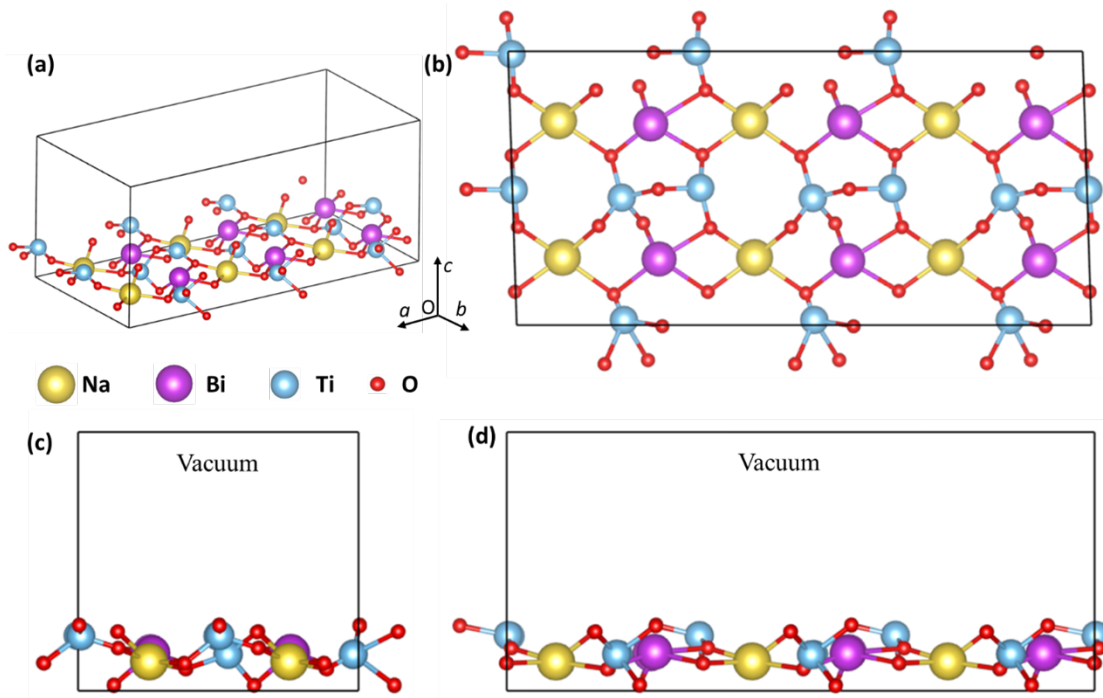
## 2. Computational details

We performed first-principles calculations utilizing the density functional theory (DFT) with ultrasoft pseudopotentials within the framework of the Cambridge Serial Total Energy Package (CASTEP) module program [15, 16]. Our choice of exchange energy employed the generalized gradient approximation (GGA), the Perdew, Burke, and Ernzerhof (PBE) functional used to describe the electron exchange-correlation potential [15, 17]. The plane-wave basis functions cutoff energy was set at 500eV, which yields the convergence of the total energy to  $1.0 \times 10^{-6}$  eV/atom and a Hellman-Feynman force below 0.01eV/Å. A regular Monkhorst-Pack scheme

grid of  $1 \times 2 \times 2k$ -point was adopted to sample the Brillouin zone, determining the number of integration points used for the wave function in reciprocal space [15, 18].

Regarding the crystal structure of BNT materials, we employed a rhombohedral configuration with the  $R3c$  space group, characterized by lattice constants  $a = b = 5.488\text{\AA}$ ,  $c = 13.504\text{\AA}$ , and  $\alpha = \beta = 90^\circ$ ,  $\gamma = 120^\circ$  [15, 19]. Atomic positions in BNT compounds adhered to experimental observations reported by Jones and Thomas [20]. Thus, the unit cell for BNT compounds was constructed with 60 atoms, which was double higher than that previously reported for calculations of the unit cell for  $\text{Bi}_{0.5}\text{Na}_{0.5}\text{TiO}_3$  compounds [15]. To model the BNT(110) surface, we initiated by cleaving the geometry-optimized primitive cell of rhombohedral BNT compounds. Subsequently, we added a vacuum layer with a thickness of  $20\text{\AA}$  to create a supercell with dimensions of  $3 \times 2 \times 1$  unit cells. In addition, it is worth noting that the GGA method often underestimates the bandgap, which can precisely compensate for the neglected spin-orbital coupling (SOC) effect [15, 21, 22]. Therefore, for this calculation, we consider that the GGA method can accurately estimate the bandgap of the BNT(110) surface, and as a result, the SOC is not included in this study.

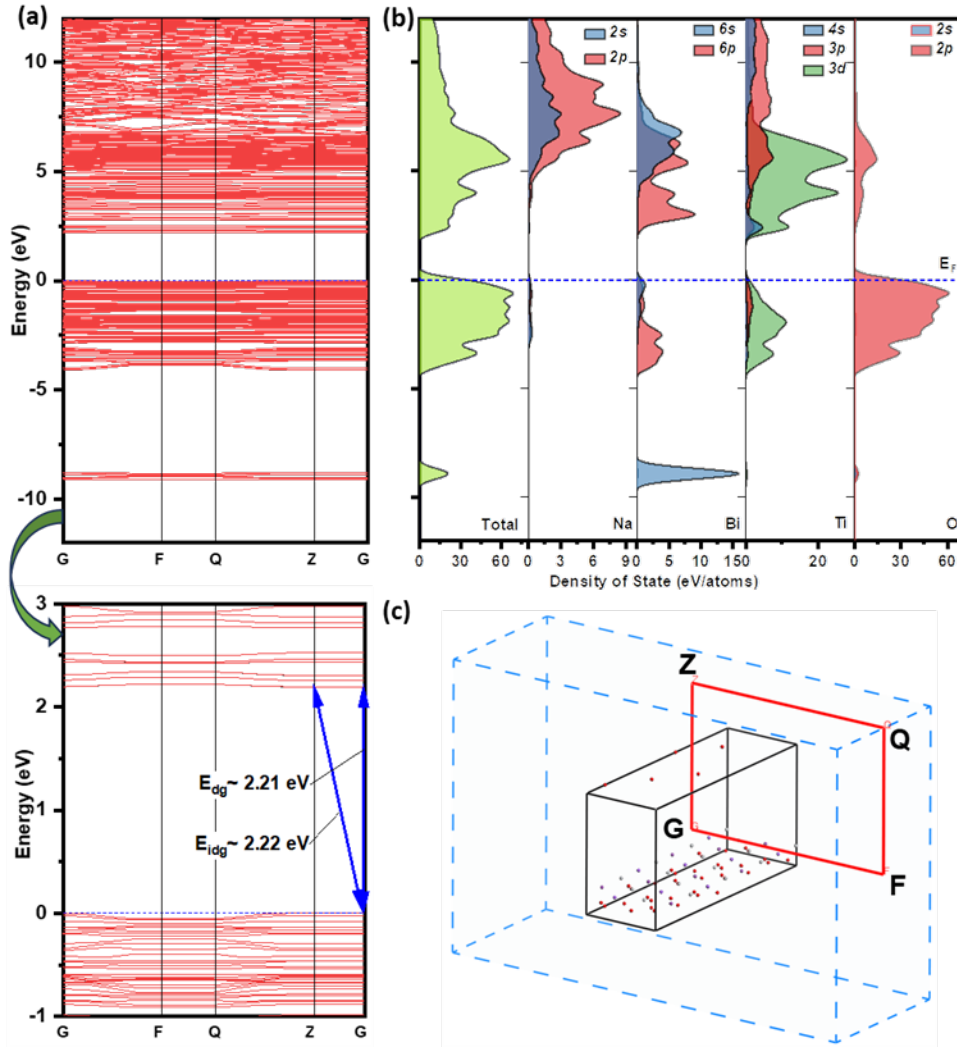
### 3. Results and discussion



**Fig. 1.** The optimized structure of a perfect BNT(110) surface: (a) 3D view, (b) top view ( $Oc$  direction), (c) left-side view ( $Oa$  direction), and (d) right-side view ( $Ob$  direction).

Figure 1(a) illustrates the 3D view of the optimized perfect structure of the BNT(110) surface, with a chemical composition of 60 atoms represented as  $\text{Bi}_6\text{Na}_6\text{Ti}_{12}\text{O}_{36}$ . The structure consists of 6Bi atoms (in purple), 6Na atoms (in yellow), 12Ti atoms (in turquoise), and 36O atoms

(in red). In addition, the top view (in  $Oc$  direction), left-site view ( $Oa$  direction), and right-site view ( $Ob$  direction) of the surface are also shown in Figs. 1 (b)-(d), respectively. The space group assigned to these surface configurations is  $P1$  symmetry with the optimal lattice constants approximately of  $a = 23.583\text{\AA}$ ,  $b = 11.242\text{\AA}$ ,  $c = 10.350\text{\AA}$ ,  $\alpha = \beta = 90^\circ$ , and  $\gamma = 91.81^\circ$  for the perfect BNT(110) surface. These results are consistent with recent reports on the surface calculation of BNT materials [15]. We proceeded to perform various defect chemistry calculations based on the initial BNT(110) surface, including vacancies, interstitials, and antisite defects.

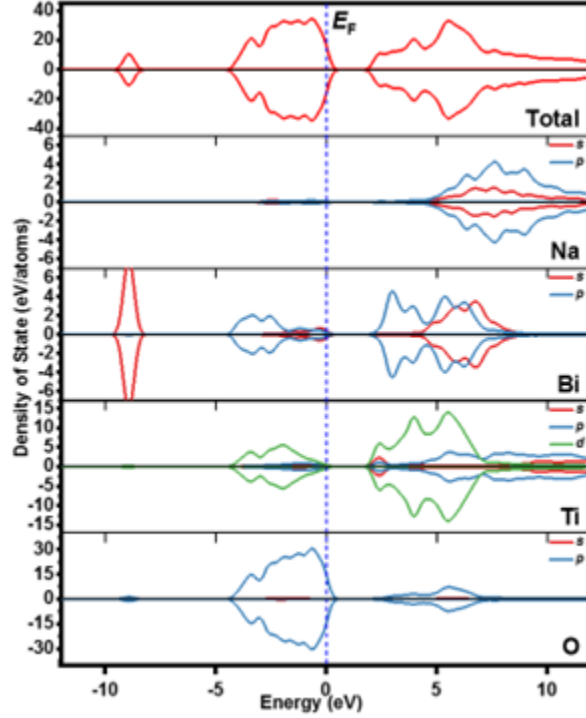


**Fig. 2.** (a) Electronic band structure, (b) partial density of states (PDOS) and total density of state (TDOS), and (c) Brillouin zone with positions of high-symmetry points of the perfect BNT(110) surface. High magnification of the electronic band structure of the BNT(110) surface is shown in the lower panel of Fig. 2(a).

The electronic band structure, the corresponding total density of state (TDOS), and the Brillouin zone with positions of high-symmetry points of the initial BNT(110) surface were shown in Figs. 2(a)-(c), respectively. In the electronic band structure, a bandgap was observed between the conduction band (CB) and valence band (VB), with the Fermi level ( $E_F$ ) indicated by the blue dashed line. The results suggest that the BNT(110) surface exhibited characteristics of a semiconductor or insulator. This observation aligns well with experimental findings that classify BNT materials as ferroelectric insulators [1, 2]. However, distinguishing electron transition between CB and VB was challenging due to the closely spaced transition levels, as shown in the inset of Fig. 2(a). The direct transition electron from the G to G point between the CB and VB was estimated to be around  $E_{dg} \sim 2.21\text{eV}$ , while the indirect transition from G to Z point between the CB and VB was approximately  $E_{idg} \sim 2.22\text{eV}$ . Thus, the difference between  $E_{dg}$  and  $E_{idg}$  values was approximately  $0.01\text{eV}$ , indicating the proximity of direct and indirect electron transitions between the CB and VB. These results were well consistent with recent electronic structural calculations of BNT(110) surface, which also found closely spaced direct and indirect transitions [15]. Recently, Behara et al. predicted that BNT exhibits both direct ( $E_{dg} \sim 2.869\text{eV}$ ) and indirect bandgap ( $E_{idg} \sim 2.832\text{eV}$ ), with a difference of approximately  $0.037\text{eV}$  between the two gap energies [23]. Our calculations have well explained the experimental observations that suggest two distinct gap energies for BNT, approximately  $3.05\text{eV}$  for direct and  $2.86\text{eV}$  for indirect transitions [24]. Furthermore, Fig. 2(b) provided a clear representation of the electronic band structure of the BNT(110) surface, detailing the contribution of each element through the partial density of state (PDOS) and TDOS. The lowest valence band was situated around  $-9\text{eV}$  and was primarily composed of PDOS from Bi-  $6s$  orbitals, with a minor contribution from O-  $2p$  orbitals, known for inducing a significant ferroelectric polarization due to their lone pairs. The higher valence band was predominantly formed by PDOS from Bi-  $6p$  and Ti- $3d$  orbitals. Additionally, there is a minor contribution from PDOS of Bi-  $6s$  orbitals and Ti- $3p$  orbitals, which were closer to the  $E_F$ . The conduction band of the BNT(110) surface mainly consisted of PDOS from Ti- $3d$ , Ti- $4s$ , and Bi- $6p$  orbitals. PDOS from Na-  $2s$  and Na-  $2p$  orbitals contributed to the conduction band at higher energies, while the contribution of O-  $2p$  orbitals was minor and can be neglected. The PDOS for each element that contributed to the electronic band structure of the BNT(110) surface revealed a significant contribution from O-  $2p$  orbitals to the valence band and Bi-  $6p$  and Ti- $3d$  orbitals to the conduction band. Therefore, we suggested that the electrons primarily transition from O-  $2p$  orbitals to Ti- $3d$  orbitals and/or Bi-  $6p$  orbitals. In other words, strong hybridization occurred between Bi-  $6p$ , Ti-  $3d$ , and O-  $2p$  orbitals in the valence band, while the conduction band was composed of Ti- $3d$  orbitals, along with Bi-  $6p$  orbitals and a separated sub-level of Ti- $4s$  orbitals. Our calculation results were consistent with similar features previously predicted for the electronic band structure of bulk and surface BNT materials with rhombohedral symmetry [15, 23, 25, 26].

Figure 3 displays the contribution of the partial spin density of state (PSDOS) of each element and the total spin density of state (TSDOS) for the perfect BNT(110) surface. The upper and lower parts of the horizontal axis were denoted the spin-up and spin-down states of each element's contribution, along with the total spin density of states for the perfect BNT(110) surface. The predictions from PSDOS results align with the calculations presented in Fig. 2(b), demonstrating symmetrical contributions from each element, resulting in the symmetry observed in TSDOS of the BNT(110) surface. Notably, at the  $E_F$ , the total spin-up and spin-down contributions are equal, indicating that the perfect BNT(110) surface is non-magnetic. Our calculations for the TSDOS of





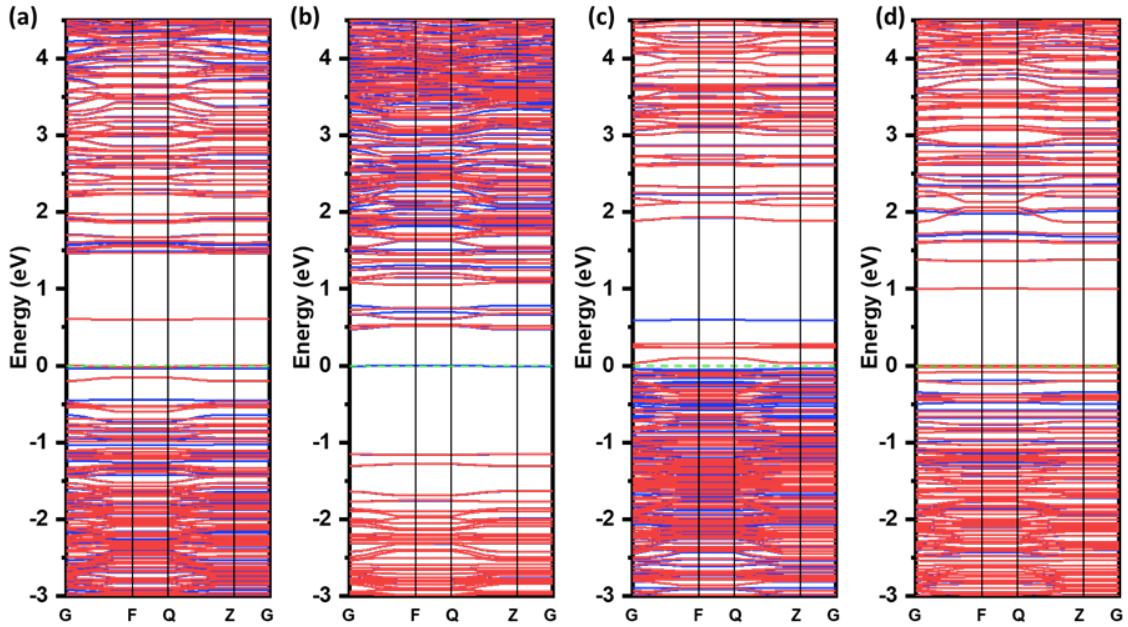
**Fig. 3.** Partial spin density of state (PSDOS) and total spin density of state (SDOS) of the perfect BNT(110) surface. The  $E_F$  is set to zero energy.

the perfect BNT(110) surface are consistent with previous calculations for the BNT(100) surface, which also exhibited non-magnetic behavior [12, 13, 15]. Furthermore, our results for the TDOS of the perfect BNT(110) surface align with calculations for bulk BNT materials, confirming that the perfect BNT crystal does not exhibit magnetic properties [25–30]. In other words, both the perfect bulk BNT and the perfect BNT surfaces are non-magnetic, indicating the absence of ferromagnetic behavior in these materials.

Experimental observations have indicated weak ferromagnetism in non-doped BNT materials at room temperature [8,9,12]. However, calculations suggest that the perfect BNT material does not exhibit ferromagnetism. This suggests that the observed weak ferromagnetism in experiments may indeed originate from defects in BNT materials. To investigate this further, we performed detailed calculations for various types of BNT(110) surface defects, including Na, Bi, Ti, and O defect systems.

First of all, we will begin with the Na defect systems, that is, Na vacancy ( $\text{Na}_V$ ), Na interstitial ( $\text{Na}_I$ ), Na anti-site at Bi-site ( $\text{Na}_{\text{Bi}}$ ), and Na anti-site at Ti-site ( $\text{Na}_{\text{Ti}}$ ). The influence of each type of defect on the electronic structure of the BNT(110) surface is shown in Figs. 4 (a)-(d) for  $\text{Na}_V$ ,  $\text{Na}_I$ ,  $\text{Na}_{\text{Bi}}$ , and  $\text{Na}_{\text{Ti}}$ , respectively. The majority (red bands) and minority (blue bands) states represent the spin-up and spin-down states, respectively. Remarkably, in all four cases of Na defects within the structure, the spin-up and spin-down states are separated, indicating the presence of magnetic moments in the electronic structure. Furthermore, the  $E_F$  in the  $\text{Na}_V$ ,  $\text{Na}_{\text{Bi}}$ , and  $\text{Na}_{\text{Ti}}$

defects is located at the top of the valence band, whereas in the  $\text{Na}_I$  defect, it is positioned closer to the bottom of the conduction band. This suggests that  $\text{Na}_I$  is  $n$ -type semiconductor, while  $\text{Na}_V$ ,  $\text{Na}_{\text{Bi}}$ , and  $\text{Na}_{\text{Ti}}$  are  $p$ -type semiconductors. The rationale behind this observation is that in a crystal with interstitial Na defects, there is typically an excess of electrons available for conduction, favoring a transition from the  $p$ -type to the  $n$ -type semiconductor behavior. Additionally, it is evident that these energy band structures still maintain a well-defined bandgap. However, there are sub-level energy states within the bandgap region, as shown in Fig. 4. These additional sub-level bands contribute to the reduction in the energy gap, leading to increased conductivity characteristics in the presence of defects.

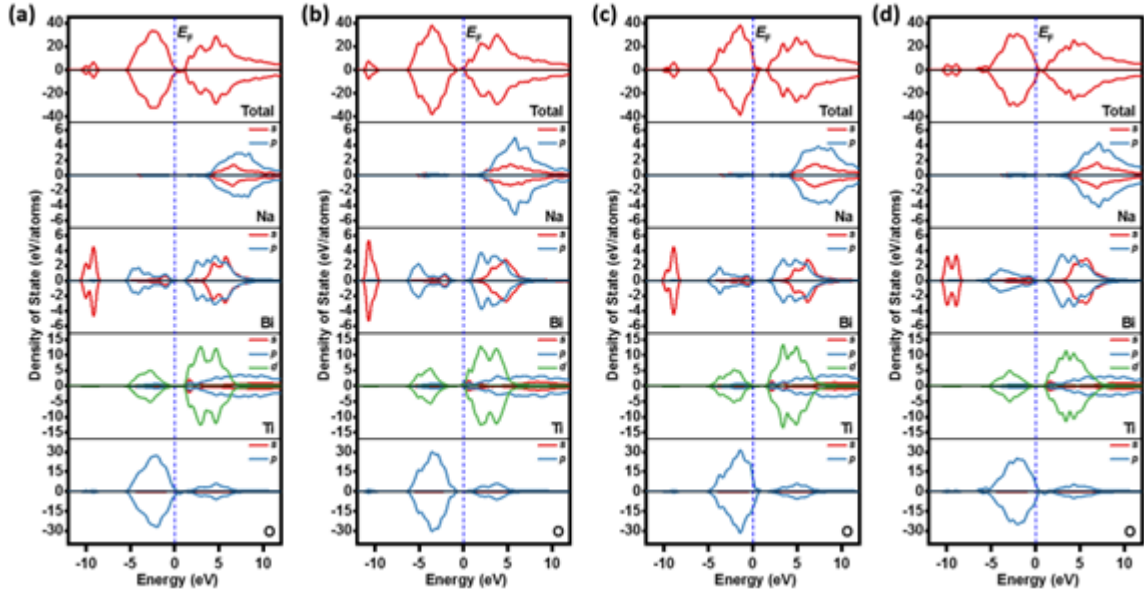


**Fig. 4.** Electronic band structures of BNT(110) surface with (a) Na vacancy, (b) Na interstitial, (c) Na anti-site at Bi-site defect, and (d) Na anti-site at Ti-site defect. The green dashed lines represent the  $E_F$ .

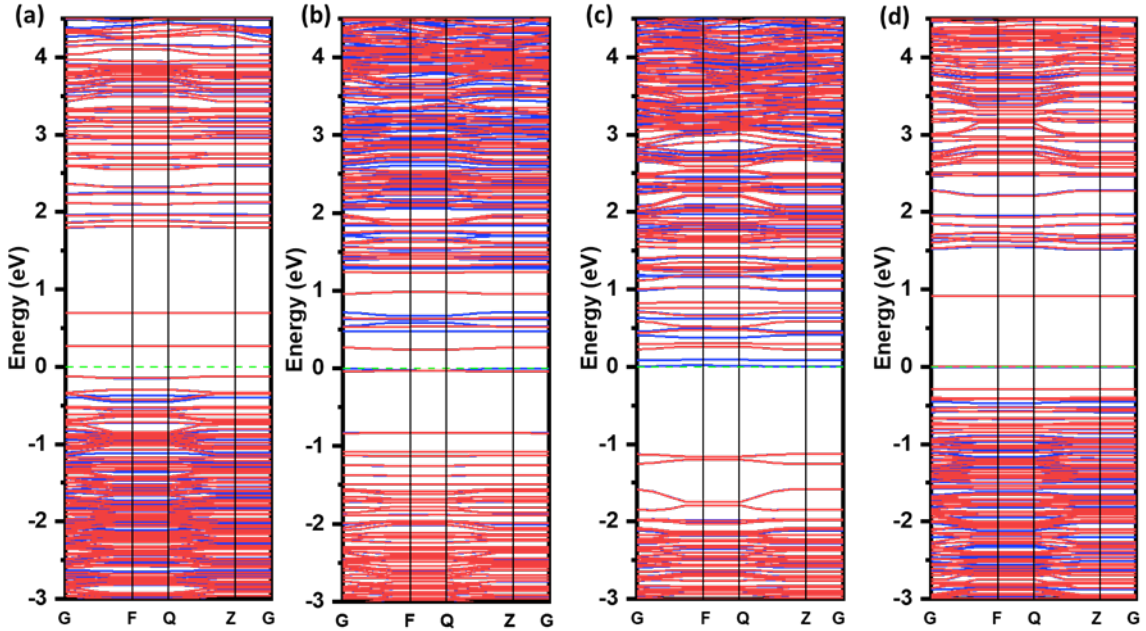
We continue to investigate the magnetic properties of the Na defect system using the SDOS, as shown in Figs. 5(a)-(d) for  $\text{Na}_V$ ,  $\text{Na}_I$ ,  $\text{Na}_{\text{Bi}}$ , and  $\text{Na}_{\text{Ti}}$  defects, respectively. The SDOS displays asymmetry, indicating that the Na defects exhibit magnetism. Based on the SDOS, the observation results of magnetic moment were estimated around 0.52, 0.50, 0.55, and 0.54  $\mu_B$ / f.u for  $\text{Na}_V$ ,  $\text{Na}_I$ ,  $\text{Na}_{\text{Bi}}$ , and  $\text{Na}_{\text{Ti}}$ , respectively.

In the subsequent investigation, we explored defect systems involving Bi on the BNT(110) surface, including Bi vacancy ( $\text{Bi}_V$ ), Bi interstitial ( $\text{Bi}_I$ ), Bi anti-site at Na-site ( $\text{Bi}_{\text{Na}}$ ), and Bi anti-site at Ti-site ( $\text{Bi}_{\text{Ti}}$ ). The electronic band structures of  $\text{Bi}_V$ ,  $\text{Bi}_I$ ,  $\text{Bi}_{\text{Na}}$ , and  $\text{Bi}_{\text{Ti}}$  defects are presented in Figs. 6(a)-(d), respectively. Similar to the case of Na defects, Bi defects also exert a significant influence on the energy band structure. Notably, they exhibit behavior as  $n$ -type semiconductors when the  $E_F$  shifts towards the CB bottom, as observed in the cases of  $\text{Bi}_I$  and  $\text{Bi}_{\text{Na}}$  defects, as





**Fig. 5.** PSDOS and SDOS of BNT(110) surface with (a) Na vacancy, (b) Na interstitial, (c) Na anti-site at Bi-site defect, and (d) Na anti-site at Ti-site defect.



**Fig. 6.** Electronic band structures of BNT(110) surface with (a) Bi vacancy, (b) Bi interstitial, (c) Bi anti-site at Na-site defect, and (d) Bi anti-site at Ti-site defect. The green dashed lines represent the  $E_F$ .

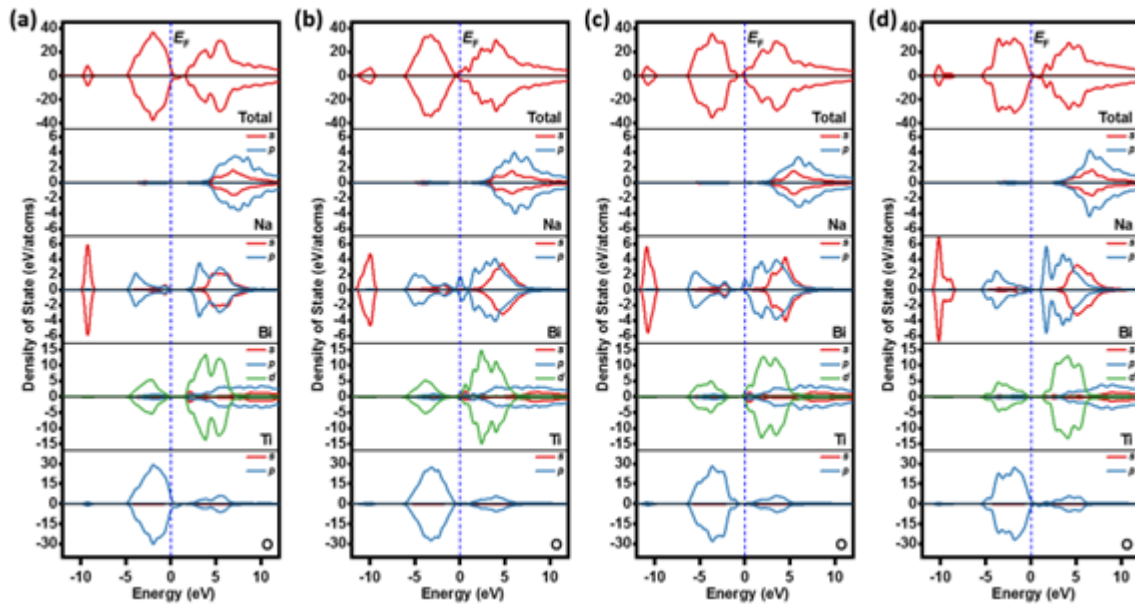
shown in Fig. 6(b) and Fig. 6(c), respectively. Conversely,  $\text{Bi}_{\text{V}}$  and  $\text{Bi}_{\text{Ti}}$  defects behave as  $p$ -type semiconductors, accompanied by the presence of sub-level bands within the bandgap, as shown in Fig. 6(a) and Fig. 6 (d), respectively. This facilitates electron transitions from the VB to the CB. Moreover, in the case of the Biv defect, two additional sub-level bandgaps appear due to the presence of sub-level bands within the bandgap which were presented in the electronic structure of BNT with various Bi-type defects. These sub-level bands are contributed by the oxygen atoms, as will be discussed in the SDOS section below.

**Table 1.** Magnetization per formula unit cell ( $\mu_{\text{B}}$ / f.u.) of BNT (110) surface with various

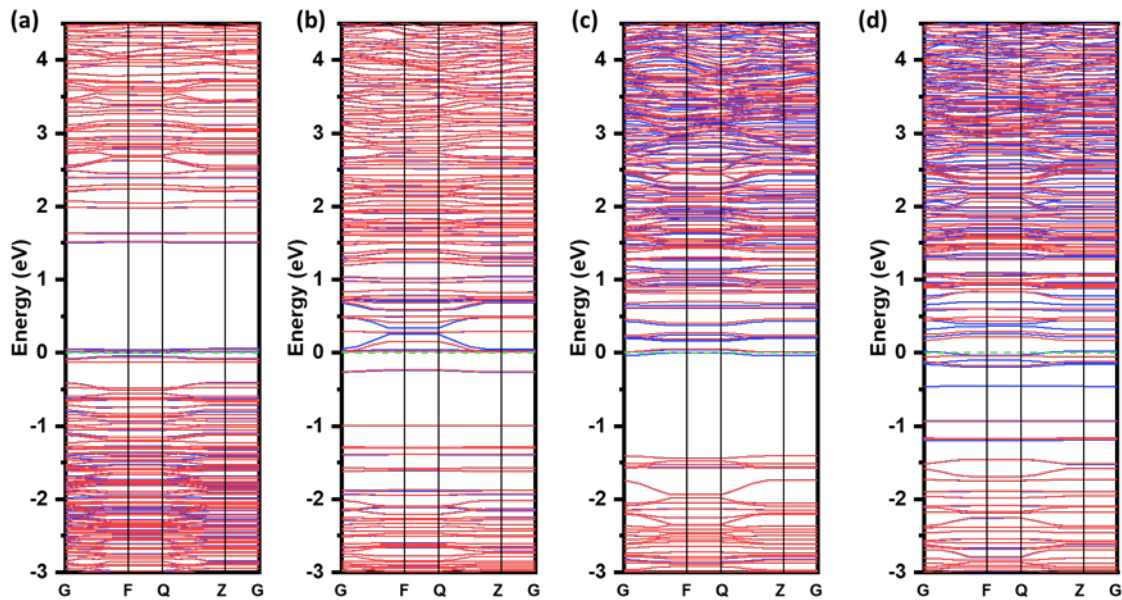
Defects	$M_{\text{Na}}$ ( $\mu_{\text{B}}$ / f.u. )	$M_{\text{Bi}}$ ( $\mu_{\text{B}}$ / f.u. )	$M_{\text{Ti}}$ ( $\mu_{\text{B}}$ / f.u.)	$M_{\text{O}}$ ( $\mu_{\text{B}}$ / f.u. )	$M_{\text{Total}}$ ( $\mu_{\text{B}}$ / f.u. )
<b>Pristine</b>	0	0	0	0	0
<b>Na<sub>V</sub></b>	0.01	0.00	0.01	0.50	0.52
<b>Na<sub>I</sub></b>	0.01	0.00	0.47	0.02	0.50
<b>Na<sub>Bi</sub></b>	0.03	0.02	0.01	0.01	0.55
<b>Na<sub>Ti</sub></b>	0.02	0.01	0.02	0.49	0.54
<b>Bi<sub>V</sub></b>	0.00	0.02	0.02	0.46	0.50
<b>Bi</b>	0.01	0.08	0.39	0.01	0.49
<b>Bi<sub>Na</sub></b>	0.00	0.17	0.18	0.04	0.39
<b>Bi<sub>Ti</sub></b>	0.00	0.00	0.01	0.49	0.50
<b>Ti<sub>V</sub></b>	0.00	0.00	0.00	0.01	0.01
<b>Ti<sub>I</sub></b>	0.00	0.01	0.01	0.01	0.03
<b>Ti<sub>Na</sub></b>	0.02	0.02	0.48	0.01	0.53
<b>Ti<sub>Bi</sub></b>	0.01	0.02	0.51	0.03	0.57
<b>O<sub>V</sub></b>	0.00	0.00	0.00	0.00	0.00
<b>O<sub>I</sub></b>	0.01	0.01	0.01	0.01	0.04

Figures 7(a)-(d) show the SDOS of  $\text{Bi}_{\text{V}}$ ,  $\text{Bi}_{\text{I}}$ ,  $\text{Bi}_{\text{Na}}$ , and  $\text{Bi}_{\text{Ti}}$  defects, respectively. The observed asymmetry in SDOS indicates the magnetism behavior of Bi defects. Specifically,  $\text{Bi}_{\text{V}}$ ,  $\text{Bi}$ ,  $\text{Bi}_{\text{Na}}$ , and  $\text{Bi}_{\text{Ti}}$  induce significant magnetic moments of 0.50, 0.49, 0.39, and  $0.50\mu_{\text{B}}/\text{f.u.}$ , respectively (Table 1). The asymmetry in the SDOS primarily originates from the contributions of the O and Ti atoms. This asymmetry is uniformly distributed throughout the energy band structures and is more pronounced in the vicinity of the  $E_{\text{F}}$ . Furthermore, this asymmetry is particularly prominent in the SDOS of Ti-3  $d$  and O  $-2p$ , representing the outermost electron layers of these elements. This observation indicates a rearrangement of positions and the charge correlation exchange within each atom participating in the Ti-O bonding interaction within the defect structure. In the energy band structure of the  $\text{Bi}_{\text{V}}$  and  $\text{Bi}_{\text{Ti}}$  defects in Fig. 7(a) and Fig. 7 (d), respectively, the sub-level bands primarily arise from O in the case of  $\text{Bi}_{\text{V}}$ , whereas in the case of  $\text{Bi}_{\text{Ti}}$ , they originate from the contribution of Bi. This suggests that the Bi – O ion bonding also plays a crucial role in influencing the electronic structures of the materials.

In this section, we investigated the impact of Ti defects on the electronic structure and magnetic properties of the BNT (110) surface. The defects considered were Ti vacancies ( $\text{Ti}_{\text{V}}$ ), Ti interstitials ( $\text{Ti}_{\text{I}}$ ), Ti anti-sites at the Bi-site ( $\text{Ti}_{\text{Bi}}$ ), and Ti anti-sites at the Na-site ( $\text{Ti}_{\text{Na}}$ )

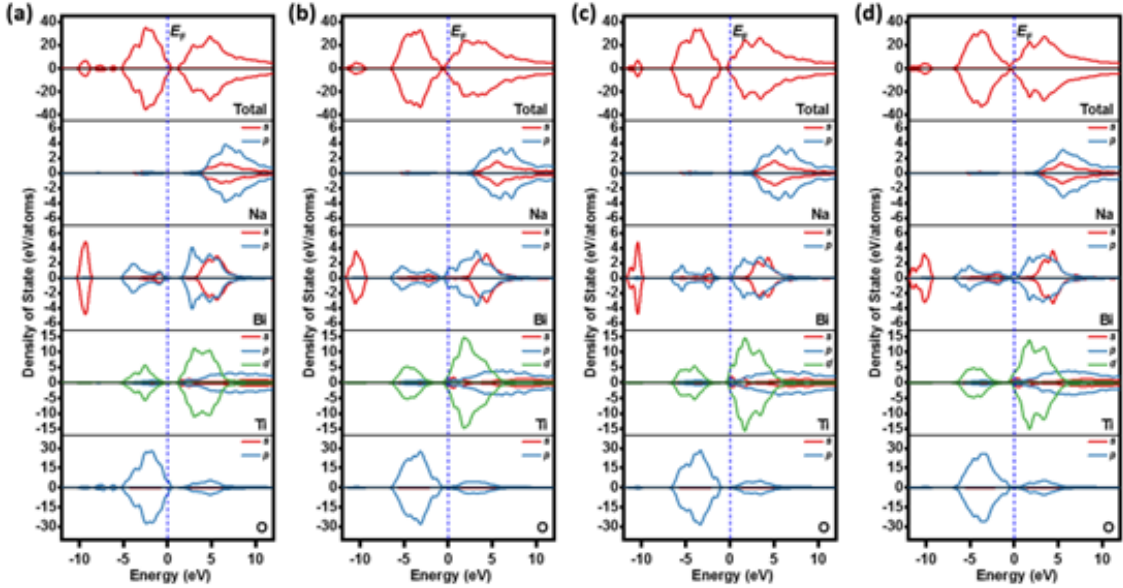


**Fig. 7.** PSDOS and SDOS of BNT(110) surface with (a) Bi vacancy, (b) Bi interstitial, (c) Bi anti-site at Na-site defect, and (d) Bi anti-site at Ti-site defect.



**Fig. 8.** Electronic band structures of BNT(110) surface with (a) Ti vacancy, (b) Ti interstitial, (c) Ti anti-site at Bi-site, and (d) Ti anti-site at Na-site. The green dashed lines represent the  $E_F$ .

The energy band structures for the Ti defects are presented in Figs. 8(a)-(d) for  $Ti_v$ ,  $Ti_I$ ,  $Ti_{Bi}$ , and  $Ti_{Na}$ , respectively. In the case of the  $Ti_v$  defect, as shown in Fig. 8(a), the material exhibits  $p$ -type semiconductor behavior. However,  $Ti_I$ ,  $Ti_{Bi}$ , and  $Ti_{Na}$  defects behave as  $n$ -type semiconductors, with the  $E_F$  shifted towards the CB of the electronic structure, as shown in Figs. 8(b)-(d), respectively. Additionally, sub-level bands appear in the energy band structure in the case of the  $Ti_I$  defect and even more are observed in the  $Ti_{Na}$  defect. These sub-level bands narrow the gap between the VB and the CB, indicating higher conductivity. Furthermore, we observe that the energy band structures of the BNT(110) surface with  $Ti_v$  vacancies appear less dense compared to the energy band structures of the surface with  $Ti_I$  interstitial and Ti antisite defects. This discrepancy arises from the fact that Ti has a higher valence electron count compared to Na and Bi. When Ti is in the interstitial position or replaces Na and Bi to form anti-site defects, the valence electron count increases, resulting in a seemingly denser band structure.

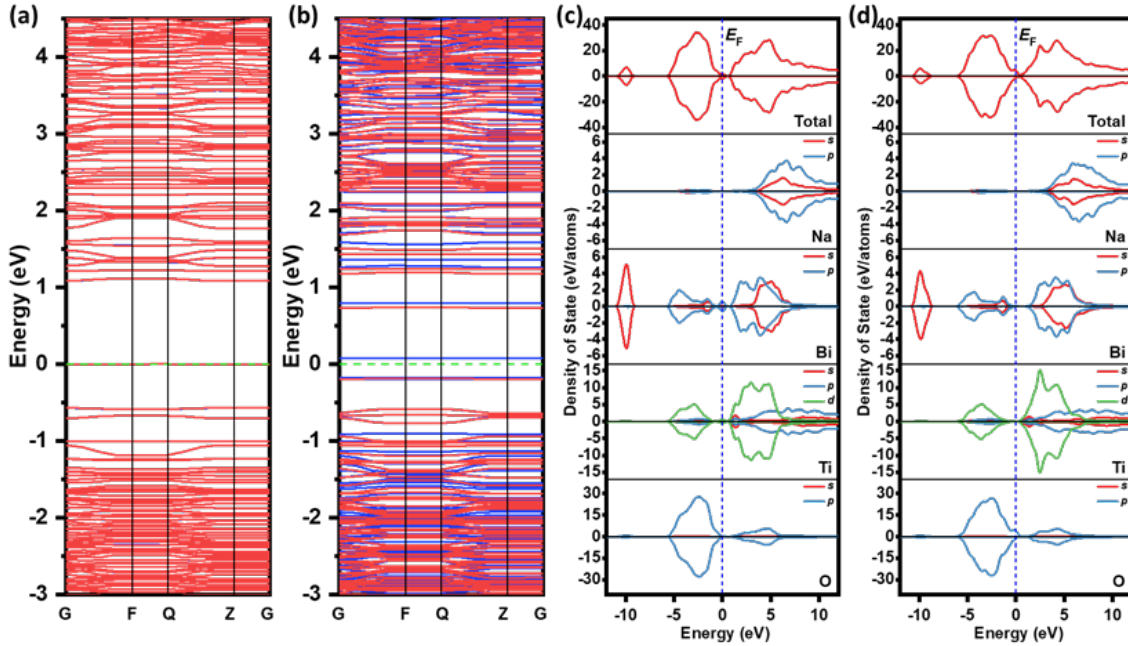


**Fig. 9.** PSDOS and SDOS of BNT(110) surface with (a) Ti vacancy, (b) Ti interstitial, (c) Ti anti-site at Bi-site defect, and (d) Ti anti-site at Na-site defect.

The SDOS of  $Ti_v$ ,  $Ti_I$ ,  $Ti_{Bi}$ , and  $Ti_{Na}$  defects are presented in Figs. 9 (a)-(d), respectively. Observing these results, we can discern various characteristics arising from the defect-induced states in Ti-defect surfaces. In the case of  $Ti_v$  and  $Ti_I$  defects, the SDOS shows almost negligible asymmetry, suggesting a weak presence of defect-induced magnetism (as shown in Figs. 9 (a,b)). These SDOS results are consistent with the magnetic moment values from the elemental contributions, as shown in Table 1, where the total magnetic moment from  $Ti_v$  and  $Ti_I$  defects is only  $0.01\mu_B/\text{f.u}$  and  $0.03\mu_B/\text{f.u}$ , respectively. In the cases of  $Ti_{Bi}$  and  $Ti_{Na}$  defects (Figs. 9 (c,d)), the total magnetic moment values are significantly higher, with values of  $0.57\mu_B/\text{f.u}$  and  $0.53\mu_B/\text{f.u}$ , respectively. Accordingly, asymmetry is observed in the SDOS of  $Ti_{Bi}$  and  $Ti_{Na}$  defects. This observed asymmetry mainly originates from the Ti-3d states. Additionally, when comparing the

SDOS of Bi, differences are evident in the SDOS of the  $s$  orbital states around  $-10\text{eV}$ . Specifically, in the  $\text{Ti}_\text{V}$  defect, only one peak is observed, while in the  $\text{Ti}_{\text{Bi}}$  defect, there is a high-intensity peak with a small peak appearing nearby. Similarly, in the  $\text{Ti}_{\text{Na}}$  defect, there is also a small peak at this position. These observations indicate that the electronic components of the Bi-O ionic bonding in these defective crystals are separated into discrete energy levels.

In this section, we will discuss the defect systems related to O on the BNT(110) surface, with a specific focus on two types of defects which were included of O vacancy ( $\text{O}_\text{V}$ ) and O interstitial ( $\text{O}_\text{I}$ ). Figs. 10(a) and (b) depict the energy band structures of  $\text{O}_\text{V}$  and  $\text{O}_\text{I}$ , respectively. In both cases, the presence of sub-level bands within the bandgap region results in a reduction of the gap between the valence and conduction bands. These sub-level bands align specifically with the  $E_\text{F}$ , indicating that they are occupied by electrons. This occupancy significantly enhances conductivity by providing ample energy states for electron movement. Consequently, the electronic and thermal properties of the material, including its electrical and thermal conductivity, are influenced by the presence of these sub-level bands at the  $E_\text{F}$ . It is noteworthy that the  $E_\text{F}$  in  $\text{O}_\text{V}$  and  $\text{O}_\text{I}$  is not close to the top of the VB or the bottom of the CB, indicating that these structures are intrinsic semiconductors.



**Fig. 10.** Electronic band structures and SDOS of BNT(110) surface with (a,c) O vacancy and (b,d) O interstitial defect, respectively. The green dashed lines represent the  $E_\text{F}$ .

The magnetic properties of the O defects on the BNT(110) surface were examined through the SDOS results (Fig. 10(c), (d)) and the magnetic moment values (Table 1). It was observed that  $\text{O}_\text{V}$  defects did not exhibit any magnetic properties, while  $\text{O}_\text{I}$  defects displayed a slight magnetic moment of  $0.04\mu_\text{B}/\text{f.u.}$  The magnetic contribution in the case of  $\text{O}_\text{I}$  defects originated from the electronic orbital states of  $\text{O}(\text{O} - 2p)$ . In particular, the SDOS revealed that the sub-level bands

at the  $E_F$  in the  $O_V$  defect were attributed to the valence electronic orbital states of Bi(Bi – 6s), whereas for  $O_I$  defects, they were contributed by the O – 2p orbital states. Overall, these findings suggest that the  $O_I$  defects possess a mild magnetic property, while  $O_V$  defects do not exhibit any significant magnetic behavior on the BNT(110) surface.

#### 4. Conclusion

In conclusion, our study employing the DFT method investigated defects on the BNT(110) surface. We observed that the BNT(110) surface exhibited non-magnetic behavior, but the introduction of defects resulted in the emergence of complex magnetic moments, dependent on the defect type. The presence of self-defects caused significant modifications to the electronic band structure, leading to the appearance of a localized energy level within the bandgap. Moreover, the characteristics of the O – 2p, Bi – 6p, and Ti-3d partial density of states were notably affected by both the defect type and elemental defects, resulting in alterations in the magnetic and optical properties. These findings hold great promise for controlling the magnetic and optical properties of lead-free ferroelectric materials by manipulating surface defects, thereby opening up new avenues for advanced electronic applications.

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